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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: CHAOXIN C. QIU ET AL.

Filed: OCTOBER 3, 2001

For: SYSTEMS AND METHODS FOR RESOURCE
MANAGEMENT IN INFORMATION STORAGE
ENVIRONMENTS

Serial No.: 09/970,457

Group Art Unit: 2151

Examiner: UNKNOWN

Atty Docket No.: SURG:160

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SEP 23 2002
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Pursuant to 37 C.F.R. 1.8, I certify that this correspondence is being deposited with the U.S. Postal Service in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date below:

8-21-02

Date

Mary Bow

Name

Assistant Commissioner For Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

A corrected filing receipt is hereby requested in view of the error which appears in the original.

In the Domestic Priority Data, please make the following corrections:

After "THIS APPLICATION 09/970,457, please insert:

-- IS A CIP OF 09/797,198 03/01/2001--

After "AND CLAIMS BENEFIT OF 60/246,445 11/07/2000, please insert:

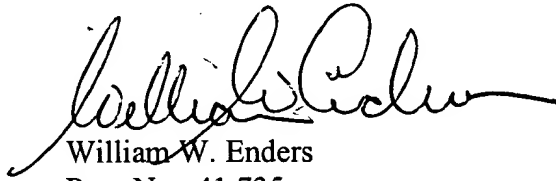
--AND CLAIMS BENEFIT OF 60/246,359 11/07/2000--

A copy of the original filing receipt is attached, with the requested change(s) indicated in red.

No fee is believed to be due in connection with the filing of this document. However, should any fee under 37 C.F.R. §§1.16 to 1.21 be deemed necessary for any reason relating to this document, the commissioner is hereby authorized to deduct said fee from Deposit Account 10-1205/SURG:160.

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "William W. Enders", written in a cursive style.

William W. Enders
Reg. No. 41,735
Attorney for Applicant

O'KEEFE, EGAN & PETERMAN, L.L.P.
1101 Capital of Texas Highway South
Building C, Suite 200
Austin, Texas 78746
512-347-1611
512-347-1615 (FAX)



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/970,457	10/03/2001	2151	732	SURG:160	8	53	3

CONFIRMATION NO. 3305

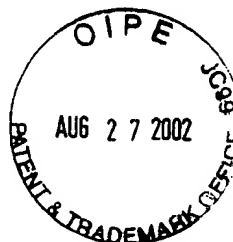
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William W. Enders
O'KEEFE, EGAN & PETERMAN
Building C, Suite 200
1101 Capital of Texas Highway South
Austin, TX 78746

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Date Mailed: 08/13/2002

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Applicant(s)

Chaoxin C. Qiu, Austin, TX;
Umesh Gupta, Austin, TX;
Scott C. Johnson, Round Rock, TX;
Sarma Kolavasi, Austin, TX;
Theodore S. Webb, Austin, TX;
Richard W. Yu, Cedar Park, TX;
Mark J. Conrad, Colorado Springs, CO;

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SEP 23 2002

Technology Center 2100

Assignment For Published Patent Application

SURGIENT NETWORKS, INC.;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/947,869 09/06/2001
WHICH IS A CIP OF 09/879,810 06/12/2001
WHICH CLAIMS BENEFIT OF 60/285,211 04/20/2001
AND CLAIMS BENEFIT OF 60/291,073 05/15/2001
THIS APPLICATION 09/970,457 → 09/797,198
IS A CIP OF 09/797,198 03/01/2001
AND IS A CIP OF 09/797,201 03/01/2001
AND CLAIMS BENEFIT OF 60/246,445 11/07/2000
AND IS A CIP OF 09/797,200 03/01/2001
WHICH CLAIMS BENEFIT OF 60/187,211 03/03/2000
THIS APPLICATION 09/970,457
CLAIMS BENEFIT OF 60/246,401 11/07/2000

AND Claims Benefit
OF 60/246,359 11/07/2000

Foreign Applications

If Required, Foreign Filing License Granted 11/01/2001

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Systems and methods for resource management in information storage environments

Preliminary Class

137

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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Bib Data Sheet

CONFIRMATION NO. 3305

SERIAL NUMBER	FILING DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.
09/970,457	10/03/2001	137	2151	
	RULE			SURG:160

APPLICANTS

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**** CONTINUING DATA *******

THIS APPLICATION IS A CON OF 09/947,869 09/06/2001
WHICH IS A CIP OF 09/879,810 06/12/2001
WHICH CLAIMS BENEFIT OF 60/285,211 04/20/2001
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WHICH CLAIMS BENEFIT OF 60/187,211 03/03/2000
THIS APPLICATION 09/970,457
CLAIMS BENEFIT OF 60/246,401 11/07/2000

**** FOREIGN APPLICATIONS *********IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** SMALL ENTITY ****

** 11/01/2001

Foreign Priority claimed	<input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY	SHEETS DRAWING	TOTAL CLAIMS	INDEPENDENT CLAIMS
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance	TX	8	53	3
Verified and Acknowledged	Examiner's Signature	Initials			

ADDRESS

William W. Enders
O'KEEFE, EGAN & PETERMAN
Building C, Suite 200
1101 Capital of Texas Highway South
Austin, TX 78746

TITLE

Systems and methods for resource management in information storage environments

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FEES: Authority has been given in Paper
No. _____ to charge/credit DEPOSIT ACCOUNT
No. _____ for following:

☐ All Fees

☐ 1.16 Fees (Filing)

☐ 1.17 Fees (Processing Ext. of
time)

☐ 1.18 Fees (Issue)

☐ Other _____

☐ Credit